WORD AND SPEAKER RECOGNITION SYSTEM

By

TAN SHWU FEI

DISSERTATION REPORT

Submitted to the Electrical & Electronics Engineering Programme in Partial Fulfillment of the Requirements for the Degree Bachelor of Engineering (Hons) (Electrical & Electronics Engineering)

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CERTIFICATION OF APPROVAL

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Dissertation report is submitted to the Electrical & Electronics Engineering Programme Universiti Teknologi PETRONAS in partial fulfilment of the requirement for the Bachelor of Engineering (Hons) (Electrical & Electronics Engineering)

Approved:

Assoc. Prof. Dr. Mohammad Awan Project Supervisor

> UNIVERSITI TEKNOLOGI PETRONAS TRONOH, PERAK December 2010

CERTIFICATION OF ORIGINALITY

This is to certify that I am responsible for the work submitted in this project, that the original work is my own except as specified in the references and acknowledgements, and that the original work contained herein have not been undertaken or done by unspecified sources or persons.

Tan Shwu Fei

ABSTRACT

In this report, a system which combines user dependent Word Recognition and text dependent speaker recognition is described. Word recognition is the process of converting an audio signal, captured by a microphone, to a word. Speaker Identification is the ability to recognize a person identity base on the specific word he/she uttered. A person's voice contains various parameters that convey information such as gender, emotion, health, attitude and identity. Speaker recognition identifies who is the speaker based on the unique voiceprint from the speech data. Voice Activity Detection (VAD), Spectral Subtraction (SS), Mel-Frequency Cepstrum Coefficient (MFCC), Vector Quantization (VQ), Dynamic Time Warping (DTW) and k-Nearest Neighbour (*k*-NN) are methods used in word recognition part of the project to implement using MATLAB software. For Speaker Recognition part, Vector Quantization (VQ) is used. The recognition rate for word and speaker recognition system that was successfully implemented is 84.44% for word recognition while for speaker recognition is 54.44%.

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LIST OF ABBREVIATIONS

DTW	Dynamic Time Warping
HMM	Hidden Markov Model
LBG	Linde-Buzo-Gray
LPC	Linear Predictive Coding
MATLAB	MATrix LABoratory
MFCC	Mel-Frequency Cepstral Coefficient
MLP	Multi Layer Propagation
<i>k</i> -NN	k-Nearest Neighbour
PLP	Perceptual Linear Prediction
SS	Spectral Subtraction
VQ	Vector Quantization
ZCR	Zero Crossing Rate

Chapter 1 INTRODUCTION

By using speech to communicate in our daily life become very simple until human tend to forget how inconsistent a signal speech is. Word and Speaker recognition become more and more important in allowing or denying access to restrict data or facilities [1]. Word recognition system is a system that can recognize a word. Speaker recognition system is a system that can recognize who the speaker is. Word recognition mean that the computer can take dictation but do not understand what is being said. Speaker recognition is related to Speech recognition [2]. Instead of determine what was said, it finds out who said it. The combination of these two systems is a very active research nowadays.

1.1 Background of Study

One of the most fascinating characteristics of humans is their capability to communicate or share their idea by means of speech. With these advantages, the possibility of creating machines capable to recognize and understand what you say would provide a comfortable and natural way of communication. If this applies to your personal computer, it can reduce the amount of typing, leave your hands free, and allow you to move away from the screen. This is called Speech Recognition which means the ability to identify spoken word. Instead of determine what you say, Speaker Recognition can determine who said it. Speaker recognition is extracting, characterizing and recognizing the information in the speech signal conveying speaker identity. The performance of speech and speaker recognition system nowadays are far from perfect but these system have proven their usefulness in some application.

The mightiest invention of speech recognition system is the use for the primary purpose of aiding persons with disabilities. The Boeing Company has a history of interest in speech recognition for use in aircraft. However, it was also, perhaps unwittingly, instrumented in the use of speech recognition for the seriously disabled. Some 20 years ago one of its programmers was rendered quadriplegic from a boating accident. The group working on speech recognition had several discrete-speech, speaker-dependent recognizers that were unused at the time, so they developed a voice-controlled interface that allowed the disabled programmer to operate his computer, control a robotic arm for fetching manuals and turning their pages, and interface to a FORTRAN development system so he could continue to work [3]. The system eventually evolved into a robotic vocational workstation for the physically disabled professional.

For some real-world application, Delco electronics employs IBM PC/AT Cherry Electronics and Intel RMX86 recognition systems to collect circuit board inspection data while the operator repairs and mark the boards. Southern Pacific Railway inspectors now routinely use a PC-based Votan recognition system to enter car inspection information from the field by walkie-talkie. Besides, Michigan Bell has installed a Northern Telecom recognition system to automate collect and third-number billed calls. AT&T has also put in field trial systems to automate call type selection in its Reno, Nevada, and Hayward, California, offices [2].

Speech Recognition may use in Telecommunication. For example, voice access to a bank account by telephone. For command and control, it happen in military parlance where officers in charge of an operation can issue commands to control the movement and deployment of men and machines [4].

Speech Recognition can also be used in education for people to learn foreign language by speak to the system can make sure the pronunciation is correct [5]. Speaker Recognition often use in security device to control access to building or information. For example Texas Instruments corporate computer center security system. Security Pacific has employed speaker verification as a security mechanism on telephone-initiated transfers of large amount of money [2].

More recent applications are for controlling access to computer networks or website. Also used for automated password reset service. Besides, some applications are home-parole monitoring and prison call monitoring. There has also been discussion of using automatic systems to corroborate aural/spectral inspections of voice samples for forensic analysis [6].

There are five main components need to pass through to recognize a word. First, a reference speech patterns are stored as speech template or as generative speech models. At another side, a speaker is speak to a microphone and associated analog-to-digital converter are digitally encodes the raw speech waveform. Then, MATLAB performs recognition to separate speech from non-speech, speech enhancement by reducing noise and feature extraction. After that preprocessed signal storage is used for the recognition algorithm. Once the user's speech sample preprocessed by the MATLAB, the user's speech database are compare to the stored reference patterns. Lastly the pattern matching algorithm computes a measurement between the preprocessed signal from the user's speech and all the stored database to choose the best match. [2] Figure 1 show the flow of the how the word being recognized.



Figure 1 How the Word Being Recognized [2]

Most of us are aware of the fact that every human have different voice vocal. With this important property, we are able to recognize a friend over a telephone. No matter how we mimic the voice of someone, there are still some different in energy, pronunciation and etc. Speaker recognition is the process of automatically recognizing who is speaking on the basis of individual information included in speech waves. Flow chart shown in Figure 2 shows the overview of the Speaker Recognition.



Figure 2 Overview of Speaker Recognition

Speaker Recognition can be categorized in two categories: Speaker Identification and Speaker Verification. Speaker Identification is a process of finding the person's identity by matching the speech pattern on a set of known speaker's voice in the database [7]. The system will choose the best matching speaker. Speaker Verification is a process of accept or reject the person's voice compare with the voice in the database. Speaker Identification with text dependent method is the speaker require to speak a specific words while Speaker Identification with text independent method is the speaker.



Figure 3 Basic Structure of Speaker Identification

Figure 3 shows the Basic Structure of Speaker Identification. In Speaker Identification, M speaker models are examining parallel. The most likely one is chosen and the decision will be one of the speaker's ID in the database, or will be 'none of the above' if and only if the matching score is below some threshold.



Figure 4 Basic Structure of Speaker Verification

By referring to Figure 4, the Basic Structure of Speaker Verification there are three main components shown in the structure are: Front-end Processing, Speaker Modeling, and Pattern Matching. Front-end processing is performs when speaker speak to the system to get the feature vectors of incoming voice, and then depending on the models used in Pattern Matching, the match scores is calculated. If the score is larger than a certain threshold, then as a result, claimed speaker would be acknowledged.

In this project, Speaker Identification text-dependent is conducted.

The program contains two functionalities: A training mode, a recognition mode. Training mode can also called feature extraction while recognition mode is feature matching. Feature extraction is same as the front end processing of word recognition which is the process that extracts a small amount of data from the voice signal that can later be used to represent each speaker. Feature matching involves the actual procedure to identify the unknown speaker by comparing extracted features from his/her voice input with the ones from a set of known speakers. In the training phase, each registered speaker has to provide samples of their speech so that the system can build or train a reference model for that speaker. In the testing phase, the input speech is matched with stored reference model(s) and a recognition decision is made.



Figure 5 Recognition Process

According to Figure 5, the reference speech sample is the speech in the database while test speech sample is the unknown speaker's voice. Both speech samples are extracted from the speech utterance at features extraction stage. At the training stage, reference speech samples are trained from the reference patterns by various methods. Statistical parameters from the reference speech data is formed for later used. At Pattern Matching stage, the test pattern is compare with the reference pattern. After comparison, the test pattern is labeled to a speaker model at the decision stage. The output with minimum risk is produced.

1.2 Problem Statement

Word and Speaker Recognition System is a very useful system to develop on. The speaker-specific characteristics of speech are due to differences in physiological and behavioral aspects of the speech production system in humans. Speech is produce begins with a thought which shows the initial communication message. Following the rules of the spoken language and grammatical structure, words and phrases are selected and ordered. After the thought constructs into language, brain sends commands by means of motor nerves to the vocal muscles, which move the vocal organs to produce sound [7]. There are a lot of existing word recognition or speaker recognition standalone systems, however there are little research on combined word and speaker recognition. With the word and speaker recognition system, security feature using speaker recognition can be implemented on top of word recognition-based applications.

The problems of the project involve in two parts. The first part is Word Recognition while the second part is Speaker Recognition.

For the Word Recognition, the tasks to be completed are:

- Record word from "one" to "nine" for as much speakers as possible in a minimal interference and minimal noise environment and save it in a file that can be used later on for processing.
- Using the recorded data file and MATLAB speech processing tool box find the "signature" of a word contents so that the word can be recognized.

For Speaker Recognition, the tasks to be completed are:

• Record words from more speakers and to design an algorithm or method that can recognize who the speaker is.

Below are some complexities of Word and Speaker Recognition:

- a) The system has to handle the variability of the speaker speech.
- b) Speaking rate: The speed we induced a word is different every time we speak it depending on the health, emotion and etc.
- c) Vocabulary Size: Different size of vocabulary can be confused even small vocabulary size.
- d) Environment: Stationary or non-stationary noises, correlated noises will affects the performance of the system.

Speech signals in training and testing sessions can be greatly different due to many facts such as people voice change with time, health conditions (e.g. the speaker has a cold), speaking rates, and so on. There are also other factors, beyond speaker variability, that present a challenge to speaker recognition technology. Examples of these are acoustical noise and variations in recording environments (e.g. speaker uses different telephone handsets).

The problem of speaker recognition is pattern recognition which is to classify the input speech into one of a number of categories or classes. Furthermore, if there exist some set of patterns that the individual classes of which are already known, then one has a problem in supervised pattern recognition [5].

At the end the software package must also able to recognize at least a common word and can also find out who the speaker is.

1.3 Objective and Scope of Study

The main aim of this project is to improve the word and speaker recognition system, by enhancing the audio processing techniques.

The objectives are:

- To understand the speaker recognition and word recognition methods.
- To design and develop the speaker recognition and word recognition algorithms on MATLAB.
- To further improve the efficiency, robustness and reliability of the system.

In this project, Word Recognition and text dependent Speaker Recognition system has been conducted using MATLAB program.

1.4 Conclusion

Word and Speaker recognition can look forward to a promising future both in terms of challenging research and useful application. Word and speaker recognition besides help in security, it also help the hard-of hearing by giving them printed text to read and the wheelchair-bound by following them to control their vehicle by voice. It is a challenging in implementing this system.

Chapter 2 LITERATURE REVIEW

There is already five decades the attention of research in automatic word and speaker recognition machine has drawn an enormous attention. A large number of speech processing techniques have been proposed and implemented, and a number of significant advances have been compared in this field during the last one to two decades, which are aroused by the development of algorithms, computational architectures and hardware. There are many techniques have been developed by researchers in doing the word and speaker recognition system. There are many challenges and research on word and speaker recognition system before creating a machine that can communicate naturally with people. In recent years, automatic word recognition has reached a soaring of performance. Due to the improvement of the algorithm and techniques used, the word-error rates dropping by a factor of five in the past five years. With the development of speech recognition system, it has become ordinary and is being used as an alternative for keyboards. Consequently, the recognition rate of this system is improved especially when using a combination of various algorithms and techniques [6].

2.1 Literature review on Word/Speech Recognition

Word/Speech recognition has been start implemented since 1950s. The first speech recognizer appeared in 1952 and consisted of a device for the recognition of single spoken digits.

2.1.1 In 1950s

In Bell Laboratories, a system that can recognize an isolated digit for a single speaker is built. In year 1956, at RCA Laboratories, Olson and Bellar tried to recognize 10 distinct syllables of a single speaker. [8] University College in England, Fry and Denes tried to build a recognizer that can recognize four vowels and nine consonants in year 1959 [9]. At the same year Forgie and Forgie at MIT Lincoln Laboratories devised a system which was able to recognize 10 vowels embedded in a /b/ - vowel - /t/ format in a speaker-independent manner [10]. In the Soviet Union, Vintsyuk proposed the use of dynamic programming methods for time aligning a pair of speech utterances [11].

2.1.2 In 1960s

In continuous speech recognition, Reddy at Carnegie Mellon University conducted a pioneering research in the field of continuous speech recognition by dynamic tracking of phonemes [12]. At the same time, Martin and his colleagues at RCA Laboratories developed a set of elementary timenormalization methods, based on the ability to reliably detect speech starts and ends, that significantly reduced the variability of the recognition scores [13]. Nagata and his colleagues at NEC Laboratories built a hardware digit recognizer in 1963 [14].

2.1.3 In 1970s

Researchers on large vocabulary speech recognition for three distinct tasks, namely the New Raleigh language for simple database queries at IBM Lab. In AT&T Bell Labs there are researchers making speaker-independent speech-recognition systems [15]. In 1973, one of the first demonstrations of speech understanding was achieved by Carnegie Mellon University (CMU). CMU's Harpy system was able to recognize speech using a vocabulary of 1,011 words with reasonable accuracy [16].

2.1.4 In 1980s

The problem of creating a robust system capable of recognizing a fluently spoken string of connected word (e.g., digits) was a focus of research. One of the key technologies developed in the 1980s is the hidden Markov model (HMM) approach [17]. In the 1980s, the idea of applying neural networks to speech recognition was reintroduced. Actually neural networks were first introduced in the 1950s, but they did not prove useful because of practical problems. A deeper understanding of the strengths and limitations of the technology was achieved, as well as an understanding of the relationship of this technology to classical pattern classification methods [18].

2.1.5 In 1990s

In the 1990s, there are different innovation pattern took place in the field of pattern recognition. The problem of pattern recognition, which traditionally the framework of Bayes is followed and required estimation of distributions for the data, was transformed into an optimization problem involving minimization of the experiential recognition error [19]. To against the mismatch between training and testing conditions, various techniques were investigated to increase the robustness of speech recognition systems The

mismatch is caused by background noises, voice individuality, microphones, transmission channels, room reverberation, etc.

2.1.6 In 2000s

The Effective Affordable Reusable Speech-to-Text (EARS) program was conducted to develop speech-to text (automatic transcription) technology. Defense Advanced Research Projects Agency (DARPA), aim of achieving substantially richer and much more accurate output than before. The system is kept on improving to become more robust especially for spontaneous speech, utterance verification and confidence measures are being intensively investigated [20].

2.2 Literature Review on Speaker Recognition

After Word/Speech recognition has been implemented, Speaker recognition system also had started at 1960s. Speaker recognition has a history dating back some four decades and uses the acoustic features of speech that have been found to differ between individuals.

2.2.1 In 1960s and 1970s

The first attempts for automatic speaker recognition were made in the 1960s, one decade later than that for automatic speech recognition. Doddington at Texas Instruments (TI) replaced filter banks that use by Pruzansky at Bell Labs [21] by formant analysis [22]. TI built the first fully automated large scale speaker verification system providing high operational security. Verification was based on a four-word randomized utterance built from a set of 16 monosyllabic words. The use of the combination of cepstral coefficient is proposed by Furui [23]. The first and second polynomial coefficients as frame-based features to increase robustness against distortions by the telephone

system. He had implemented an online system and tested it for a half year with many calls by 120 users. The cepstrum-based features later became standard, not only for speaker recognition, but also for speech recognition.

2.2.2 In 1980s

The Hidden Markov Model (HMM) -based text-dependent method was introduced. The HMM that was used in the same way for word recognition is the HMM that use in speaker recognition as well HMM. It has the same advantages for speaker recognition as they do for word recognition. Vector Quantization (VQ) /HMM-based text-independent method are introduced at the same time. It has nonparametric and parametric probability models were investigated for text-independent speaker recognition [24].

2.2.3 In 1990s

Research on increasing robustness of the speaker recognition system became a central theme in the 1990s. Matsuiet al. compared the VQ-based method with the discrete/continuous ergodic HMM based method, concentrated on robustness against utterance variations [24]. They found that the continuous ergodic HMM method is far better to the discrete ergodic HMM method and that the continuous ergodic HMM method is as robust as the VQ. Textprompted speaker recognition method is propsed by Matsui et al. Textprompted speaker recognition is the key sentences are completely changed every time the system is used [25]. This method not only accurately recognizes speakers, but can also reject an utterance whose text differs from the prompted text, even if it is uttered by a registered speaker.

2.2.4 In 2000s

High-level features such as word idiolect, pronunciation, phone usage, prosody, etc. have been successfully used in text independent speaker verification. There has been a lot of interest in audio-visual speaker verification systems, in which a combination of speech and image information is used. The audio-visual combination helps improve system reliability.

2.3 Features Attraction Technique

According to Martens (2000), there are various speech features extraction techniques which are Linear Predictive Coding (LPC), Perceptual Linear Prediction (PLP) and Mel-Frequency Cepstral Coefficient (MFCC). However, MFCC has been the most frequently used technique especially in speech recognition and speaker verification applications [26].

2.3.1 Linear Predictive Coding (LPC)

According to Huang et al. in year 2001, LPC is known as LPC analysis or Auto-Regressive (AR) modeling. It is a very powerful method for speech analysis; therefore, it is widely used. LPC is fast and simple, yet an effective method for estimating the main parameters of speech signals. At the same year, Felber said that LPC is useful and it can produce a set of coefficients that describe a digital filter, which would together produce a sound similar to the original speech. It is also able to extract and store time varying formant information, where formants are points in a sound's spectrum where the loudness is boosted.

In year 2003, Wai mention that based on a highly simplified model for speech production, the linear prediction coding (LPC) algorithm is one of the earliest standardized coders, which works at low bit-rate inspired by observations of the basic properties of speech signals and represents an attempt to mimic the human speech production mechanism [26].

2.3.2 Perceptual Linear Prediction (PLP)

In year 1990, another popular feature set is the set of perceptual linear prediction (PLP) coefficients, which was first introduced by Hermansky. In 1999, Madisetti and Williams identified certain perceptual attributes and properties of the human auditory system to be considered. Those perceptual properties include the loudness, pitch, threshold of hearing, differential threshold, masked threshold, and critical bands and peripheral auditory filters. In 2005 Hönig et al. reported that PLP features are more robust when there is an acoustic mismatch between training and testing data [26].

2.3.3 Mel-Frequency Cepstrum Coefficient (MFCC)

Huang et al. mentioned that MFCCs being considered as frequency domain features are much more accurate than time domain features in 2001. He said that MFCC have a smooth transfer function, and the main advantage of the MFCC is when computing the log-energy at the output of each filter. The filter energies are more robust to noise and spectral estimation errors. This algorithm has been widely used as a feature vector for speech recognition systems.

In year 2002 Milner said that MFCC analysis gives better performance than the PLP derived cesptral in an unconstrained monophone test. MFCC is widely used in speech and speaker recognition applications.

Khalifa et al. (2004) identified the main steps required for the MFCC computations in 2004. The main steps include the followings: preprocessing, framing, windowing using hamming window, performing Discrete Fourier Transform (DFT), applying the Mel-scale filter bank in order to find the

spectrum as it might be perceived by the human auditory system, performing the Logarithm, and finally taking the inverse DFT of the logarithm of the magnitude spectrum.

In year 2005, according to Hönig et al. (2005), MFCC features have a better performance compare to PLP and LPC. According to Zoric, MFCC is an audio feature extraction technique which extracts parameters from the speech similar to ones that are used by humans for hearing speech, while at the same time, deemphasizes all other information [27].

2.4 Pattern Recognition

According to Huang et al. in year 2001, spoken language processing relies heavily on pattern recognition, which is one of the most challenging problems for machines. Figure 6 is a pattern recognition model that has been used successfully in isolated word, connected word, and continuous speech recognition systems by Madisetti and Williams. This pattern recognition model consists of some elements which include speech analysis, pattern training, pattern matching, decision strategy and templates or models containing the pattern training features for pattern matching purposes.



Figure 6 Block Diagram of Pattern Recognition Speech Recognizer by Madisetti and Williams in year 1999 [27]

The most frequent used pattern recognition techniques in speech recognition field are Hidden Markov Model (HMM), Neural Networks (NN) and Vector Quantization (VQ) [28].

2.4.1 Hidden Markov Model (HMM)

In year 1997, Podder mentioned that Hidden Markov Models (HMMs) are the main technologies that have contributed to the improvement of the recognition performances. The underlying structure of an HMM is the set of states and associated probabilities of transitions between states known as a Markov chain. Figure 7 shows a 3-state Markov chain with transition probabilities by Hansen in year 2003.





There are two strong reason stated by Rabiner (1989) about the importance of HMMs. Firstly, HMM models are very rich in mathematical structure and hence can form the theoretical basis for use in a wide range of applications. Secondly, HMM models work very well in practice for several important applications provided that they are applied properly.

In order to make HMM to be useful in real world, there are three basics problems need to be solved which stated by Rabiner (1989) and Huang et al. in year 2001. Those problems are evaluation which states how well a given model matches a given observation sequence; the decoding problem states an attempt to uncover the hidden part of the model in order to find the "correct" state sequence and the learning/training problem stated an attempt to optimize the model parameters to observed training data in order to create best models for real phenomena [29][30].

2.4.2 Neural Network (NN)

Neural Networks' structure is proposed as a model of the human brain's activities aiming to imitate certain processing capabilities of the human brain.

In year 1998, Kasabov stated that NN is a computational model defined by four main parameters. First the types of neurons or nodes that can either be fully or partially connected. The second parameter is connectionist architecture, which is classified into auto associative such as Hopfield network or heteroassociative such as Multilayer Perceptron (MLP), which can be distinguished according to the number of input and output sets of neurons and the layers of neurons used. The third parameter is the learning algorithm that makes possible modification of behavior in response to the environment. Learning algorithm is classified into supervised, unsupervised and reinforcement learning. Learning is considered as the most attractive characteristic of neural networks, which is a collective process of the whole neural network and a result of a training procedure. The role of the learning is to adjust the interconnection weights between nodes of the different layers of the networks which mentioned by Boukezzoula et al. in year 2006. The fourth parameter is the recall algorithm, which is characterized by generalization that is when similar stimuli recall similar patterns of activity.

In year 1999, Haykin stated a number of benefits of neural networks and their capabilities such as the nonlinearity, input-output mapping (learning), adaptivity, evidential response, contextual information, fault tolerance, uniformity of analysis and design, neurobiological analogy, and very-largescale-integrated (VLSI) implementability.

In year 1998 Kasabov and in year 2000 Martens, identified several advantages of ANN over HMM. Firstly, model accuracy where ANN estimation of probabilities does not require detailed assumptions about the form of the statistical distribution to be modeled, resulting in more accurate acoustic models. Secondly, discrimination where ANNs can easily accommodate discriminant training, currently done at frame level. Thirdly, context sensitivity where Recursive Neural Networks (RNN) local correlation of acoustic vectors can be taken into account in the probability distribution, whereas in standard HMMs either derivatives or linear discriminant analysis on adjacent frames are used. Fourthly, parsimonious use of parameters, since all probability distributions are represented by the same set of shared parameters. Unlike HMM, the estimation criterion for neural networks is directly related to classification rather than the maximum likelihood. (Huang et al., 2001) [30].

2.4.3 Vector Quantization (VQ)

According to Huang et al. in year 2001, a vector quantizer is described by a codebook, which is a set of fixed prototype vectors or reproduction vectors. Each of these prototype vectors is known as a codeword. To perform the quantization process, the input vector is matched against each codeword in the codebook using some distortion measure. The input vector is then replaced by the index of the codeword with the smallest distortion. Therefore, VQ process includes the distortion measure and the generation of each codeword in the codebook, and the main goal of VQ is how to minimize the distortion. In year 1993 according to Rabiner and Juang the key advantages of the VQ is reduced the storage for spectral analysis information, the reduced computation for determining similarity of spectral analysis vectors and the discrete representation of speech sounds. The similarity or distortion measure is an advantage of VQ algorithm since it has a built-in distance measure in its computation process. According to Goldberg and Riek in year 2000, a distortion measure indicates how similar two vectors are. It is used to decide how close an input vector is to a codebook vector and is also used in the training of the codebook. Goldberg and Riek (2000) also added that the most commonly used distortion measure is the sum of squared differences. In year 1997 Franti et al. said that the distortion measure is usually computed as the sum of squared distances between a feature vector and its representative centroid [30].

2.5 Conclusion

Before we achieve the ultimate goal to create a system that can recognize human words, there are a lot of challenges we must face. The effort and hard work for the past 50 years, we must appreciate it. A much greater understanding of the human speech process is still required before automatic speaker recognition systems can approach human performance.

Chapter 3 METHODOLOGY

In this chapter, the overview of the whole planning on FYP I and II will be explained. The tools and equipment involved in this project will be discussed. Besides, the method and the flow chart of the algorithm used to implement the project will be further explained more detail.

3.1 Overview Planning

At the beginning of the semester, after get the approval of the title of the project by supervisor, the title of the project is submitted to the committee of the Final Year Project.

Once the project title is submitted, the overall Gantt Chart has been planned for the project for two semester. The details Gantt Chart for FYP I is done as well. The research and study about Word Recognition is done by searching for the information from library and internet. MATLAB had been familiarized. After that, VAD has been started to work on. The preliminary report is submitted.

After the preliminary report is submitted, SS and MFCC have been implemented on. The progress report is submitted at the middle of the semester. After the submission, a seminar is conducted to present on the progress and what has been understood about the project so that the supervisor can have some advice on it.
After that to complete the whole word recognition system DTW and k-NN has been done. The draft report and interim report is written as well.

The details planning on the FYP II is carried out once the Word recognition system was completed. Figure 8 is shown the flow chart for FYP I.



Figure 8 Flow Chart for FYP I

In the second semester, the method used in Speaker Recognition System is studied. The front-end processing which includes VAD, SS and MFCC are conducted as done in Word Recognition System. For Back-end processing, Vector Quantization is used. The combine of the both system is implemented and the robustness is improved. The system will then apply to the real life. Figure 9 is shown the flow chart for FYP II.



Figure 9 Flow Chart for FYP II

3.2 Tools and Equipment

In this project, the major tool is Matlab software. The MATLAB R2009b is used.

3.2.1 Software

MATLAB is the main software that used in this project. MATLAB stands for MATrix LABoratory. It is a software package developed by the MathWorks Inc. It is a high-level language and interactive environment that performs computationally intensive tasks faster than with traditional programming languages.

MATLAB allows easy matrix manipulation, plotting of functions and data, implementation of algorithm, creation of UI, and interfacing with programs in other languages. Solving problems in MATLAB is generally much quicker than programming in a high-level language such as C or FORTRAN [31].

MATLAB Toolboxes are additional mathematical function library on specific topic which extends MATLABs capabilities to perform more complex and specialized computation. MATLAB Toolboxes are used in the project.

3.3 Project Flow in MATLAB

It is proposed that this project is divided into two stages to be worked on and conducted to achieve the desired aims and goals in the best manner possible. Before start written the program, the database from various speakers has been recorded. The database from the author has been recorded as well. There are two sets of database have been recorded for each speakers, Train and Test. Each set of database contain 10 speakers voice which utter word "One", "Two", "Three", "Four", "Five, "Six", "Seven,", "Eight" and "Nine".

The system will first recognize the word utter by the speaker and from the word the speaker utter, the system will look for who is the speaker.

The audio authentication process contains two processing stages:

- i. Front-end preprocessing is for feature extraction
 - ✓ Voice Activity Detection (VAD)
 - ✓ Spectral Subtraction (SS)
 - ✓ Mel-Frequency Cepstrum Coefficient (MFCC)
- ii. Back-end processing for classification.
 - ✓ Dynamic Time Warping (DTW)
 - ✓ *k* Nearest Neighbour (*k*-NN)
 - ✓ Vector Quantization (VQ)



Figure 10 Process flows of the Speaker Recognition and Word Recognition

For Word/Speech Recognition, when the word speak into the microphone VAD is used to separate the speech and non-speech waveform. After the speech waveform extract out, the waveform is pass by SS to eliminate the noise of the speech. Third, the speech performs the feature extraction by MFCC. MFCC capture the important characteristic of the speech in a set of numerical description. Next DTW is to compare the input speech pattern with the database speech while *k*-NN is to choose the most nearest result.

For Speaker Recognition, after pass through the front end processing, Vector Quantization (VQ) is implemented by creating a classification system for each speaker and codebook is constructed.

3.4 Research Methodology

In this project, the tested words are "One", "Two", "Three", "Four", "Five, "Six", "Seven,", "Eight" and "Nine". By using number as the tested words as this application can be used in the future to help the wheel-chair or disable people to reach the level they desired to go without pressing the level button at lift.

First of all, for the whole recognition system the first step to do is to record the voices for the user of the systems. In this project, there are voices from 10 speakers, five female: Shwu Fei (The author), Choon Yan, Siew Kim and Yin Fei, Shuk Yee five male: Jinq Yoong, Kooi Khim, Keat Oon, Ping Hong and Raheel. This database is kept in a folder name Train.

This step is called enrolment phase. This is to get the speaker models or voiceprints for speaker database. For almost all the recognition systems, training is the first step.

After a month or longer, the voices of these speakers are recorded again and to be kept in a folder name Test. These sound files were recorded after one month or longer to take in account the many changes that occur in a speaker's voice, for example health, time and etc.

When the speaker speaks to the system, MATLAB read the .wav audio file using "waveread". The file perform front-end processing, VAD then MFCC to get the coefficient. The MFCC coefficients are put into its respective cell. The task is repeated for a number of times depending on the number of audio file samples for the particular word. The cell is saves into .mat file for later process. These are the process of building a database. In the recording steps a silent environment is required to make sure the database is produced with as minimal noise and interferences as possible.

3.4.1 Front End Processing

Front end processing in this project included VAD, SS and MFCC. Front end processing is the part of features attraction.

3.4.1.1 Voice Activity Detection (VAD)

A voice activity detection (VAD) is an algorithm which is a technique used in speech processing able to distinguish the presence or absence (distortion or noise) of human speech [32]. The output from a VAD is a signal that possesses the information whether the input signal contains speech or noise only. VAD used in both Word and Speaker Recognition.

The speech signal contains segments where the speaker is silent. These segments can be happened at the beginning or the end of the speech. VAD are designed to divide the speech into human speech part and non-human speech part.



Figure 11 Flow Chart for VAD

In this project, energy and zero crossing rate (ZCR) are the measurement used to locate the beginning and endpoints of an utterance. These both methods are simple and fast to compute and it can give an accurate indication as to the presence or absence of speech. By referring to L. R. Rabiner and M. R Sambur the algorithm has been tested over variety of recording conditions and for a large number of speakers and has been found to perform well across all tested conditions. Figure 11 shows the flow chart of VAD algorithm.

The sampling frequency used is 16000Hz. When computing the energy, 10ms window is used. It is assumed that during the first 100ms of the recording interval there is no speech present which mean the silent interval is 100 ms. These measurements include the average and standard deviation of the ZCR and average energy. If there are excessive in the measurements, the algorithm stops and warns the user. Zero Crossing Threshold (IZCT) choose the minimum value between fixed threshold (IF) (25 crossings per 10ms), and the sum of the mean zeros crossing rate during silence, we named it as IZC, plus twice the standard deviation of the zero crossing rate during silence.

$$IZCT = min(IF, IZC + 2)$$

The peak energy, IMX and the silence energy, IMN are used to set two thresholds, lower threshold (ITL) and upper threshold (ITU).

$$I1 = 0.03*(IMX - IMN) + IMN$$
$$I2 = 4*IMN$$
$$ITL = min(I1,I2)$$
$$ITU = 5*ITL$$

I1 is to be a level which is 3 percent of the peak energy. It is adjusted for the silence energy while I2 is a level set at four times the silence energy. ITL, is the minimum of this two conservative energy threshold, and the ITU, is five times the ITL [33].

Separating the speech from background silence is not an easy task unless the environments have extremely high signal-to-noise ratio for example an anechoic chamber or a soundproof room in which high-quality recording are made. However such ideal recording conditions are not practical for real-world applications of speech-processing systems. Thus, simple energy are not sufficient to separate weak fricative such as the /f/ in "Your" from background silence.

Up to this, it is safe to assume that, although part of the utterance may be outside the (N1, N2) interval, the actual endpoints are not within this interval. Due to this, the algorithm proceeds to examine the interval from N1 to N1-25. If the number of times the threshold exceeded three or more, the starting point is set back to the first point (in time) at which the threshold was exceeded. Otherwise, the beginning is kept at N1.

A similar step is used on the endpoint of the utterance to determine if there is unvoiced energy in the interval from N2 to N2+25.

By referring to the Figure 12 and Figure 13, shows the clearer about the case of using only energy and the case of using both energy and ZCR. Using the energy alone, the algorithm chooses the point N1 and N2 as the beginning and the end of the point respectively for an utterance.



Figure 12 Beginning and Endpoint by using energy alone [33]

By searching the interval from N1 to N1-25, the algorithm finds a larger number of intervals with ZCR exceeding the threshold; thus, the beginning point is moved to N \hat{i} that exceeded the zero crossing threshold. Do the same from N2 to N2+25 shows no significant number of intervals with high zero crossings; thus, the point N2 is remain as the endpoint of the utterance. [32]



Figure 13 Beginning and Endpoint by using both energy and ZCR [33]

3.4.1.2 Spectral Subtraction (SS)

Spectral Subtraction (SS) is a method used to eliminate/reduce the amount of noise acoustically added in the speech signals. SS is implemented by estimating the noise spectrum from regions that are estimated as "noise-only" and subtracting it from the rest of the noisy speech signal. Background noise that added in VAD waveform can degrade the performance of the system. Spectral subtraction suppresses stationary noise from speech by subtracting the spectral noise which calculated during non-speech activity. After that attenuate the residual noise left after subtraction.

The overall of SS algorithm is shown as in Figure 14:



Figure 14 SS algorithm block diagram

3.4.1.2.1 Segmenting the Data

The input for SS algorithm contains two speech signals: VAD algorithm result and input speech data from the speaker. Both speech data are segmented and windowed, such that if the sequence is separated into halfoverlapped data buffers, and each of them are multiplied by Hanning window, then the total of these windowed sequences adds back up to the original sequence [34].

3.4.1.2.2 Taking the Fourier Transform

Since each buffer is multiplied by Hanning window, the real data are analyzed, the data were transformed symmetries. It is an advantage as it can reduce the storage requirements essentially in half [35].

Let assume s(k) as windowed speech signal and n(k) as windowed noise signal. The sum of the two is then denoted by x(k),

$$x(k) = s(k) + n(k).$$
 [1]

Taking the Fourier Transform of both sides gives

$$X(e^{j\omega}) = S(e^{j\omega}) + N(e^{j\omega})$$
[2]

where

.

$$x(k) \leftrightarrow X(e^{j\omega})$$

$$X(e^{j\omega}) = \sum_{k=0}^{L-1} x(k)e^{-j\omega k}$$

$$x(k) = \frac{1}{2\pi} \int_{-\pi}^{\pi} X(e^{j\omega})e^{j\omega k} d\omega.$$
[3]

3.4.1.2.3 Frame Averaging

The spectral error is equal to the difference between the noise spectrum N and its mean μ , local averaging of spectral magnitudes can be used to reduce the error. Therefore $|X(e^{j\omega})|$ is replaced with $\overline{|X(e^{j\omega})|}$.

Where

$$\overline{|X(e^{j\omega})|} = \frac{1}{M} \sum_{i=0}^{M-1} |X_i(e^{j\omega})| \quad X_i(e^{j\omega}) = i \text{ th time-windowed transform of}$$

x(k). [35]

Given,

$$\hat{S}_{A}(e^{j\omega}) = \left[\left| \overline{X(e^{j\omega})} \right| - \mu(e^{j\omega}) \right] e^{j\theta_{x}(e^{j\omega})}$$
[4]

The spectral error is now approximately

$$\varepsilon(e^{j\omega}) = \hat{S}_A(e^{j\omega}) - \hat{S}(e^{j\omega}) \cong \overline{|N|} - \mu$$
[5]

Where

$$\overline{\left|N(e^{j\omega})\right|} = \frac{1}{M} \sum_{i=0}^{M-1} \left|N_i(e^{j\omega})\right|.$$
[6]

Thus, the sample mean of $|N(e^{j\omega})|$ will converge to $\mu(e^{j\omega})$ as a longer average is taken [32].

It has also been noted that averaging over more than three halfoverlapped frames, will weaken intelligibility.

3.4.1.2.4 Half-Wave Rectification

For frequencies where $\overline{|X(e^{j\omega})|}$ is less than $\mu(e^{j\omega})$, the estimator $\hat{S}(e^{j\omega})$ will become negative, therefore the output at these frequencies is set to zero.

This is half-wave rectification. The advantage of half-wave rectification is that the noise floor is reduced by $\mu(e^{j\omega})$ [34]. When the speech plus the noise is less than $\mu(e^{j\omega})$ this leads to an incorrect removal of speech information and a possible decrease in intelligibility.

3.4.1.3 Mel-Frequency Cepstrum Coefficient (MFCC)

Mel-Frequency Cepstrum Coefficient is a feature extraction that converts digital speech signal which contain the important characteristics of the speaker into sets of numerical descriptors. Figure 15 shows the block diagram of the MFCC processor. In the project, the speech input is typically recorded at a sampling rate 16000 Hz so as all the wave.file in the database [36].



Figure 15 Block Diagram of the MFCC Processor [36]

There are five main steps need to pass through to get the coefficient: Frame Blocking, Windowing, Fast Fourier Transform, Mel-frequency wraping and Cepstrum.

3.4.1.3.1 Frame Blocking

The continuous speech signal is blocked into frames of N samples, with adjacent frames being separated by M (M<N). The first frame consists of the first N samples. The second frame begins M samples after the first frame, and overlaps it by N - M samples and so on. This process continues until all the speech is accounted for within one or more frames. Typical values for N and M are N = 256 (which is equivalent to ~ 30 msec windowing and facilitate the fast radix-2 FFT) and M = 100.

3.4.1.3.2 Windowing

Windowing is to minimize the signal discontinuities at the beginning and end of each frame. The concept here is to minimize the spectral distortion by using the window to taper the signal to zero at the beginning and end of each frame. If we define the window as w(n), $0 \le n \le N - 1$, where *N* is the number of samples in each frame, then the result of windowing is the signal $y_l(n) = x_l(n)w(n)$, $0 \le n \le N - 1$. Typically the *Hamming* window is used, which has the form: $w(n) = 0.54 - 0.46 \cos\left(\frac{2\pi n}{N-1}\right)$, $0 \le n \le N - 1$ as shown in Figure 16.



Figure 16 256 points hamming window [36]

3.4.1.3.3 Fast Fourier Transform (FFT)

Fast Fourier Transform, which converts each frame of *N* samples from the time domain into the frequency domain. The FFT is a fast algorithm to implement the Discrete Fourier Transform (DFT), which is defined on the set of *N* samples $\{x_n\}$, as $X_k = \sum_{n=0}^{N-1} x_n e^{-j2\pi k n/N}$, k = 0,1,2,...,N-1

In general X_k 's are complex numbers and we only consider their absolute values (frequency magnitudes). The resulting sequence $\{X_k\}$ is interpreted as follow: positive frequencies $0 \le f < F_s/2$ correspond to values $0 \le n \le N/2 - 1$, while negative frequencies $-F_s/2 < f < 0$ correspond to $N/2 + 1 \le n \le N - 1$. Here, F_s denote the sampling frequency.

3.4.1.3.4 Mel-Frequency Wrapping

Mel-Frequency Wrapping maps frequency components using a Mel scale modeled based on the human ear perception of sound instead of a linear scale [36]. Filter bank is an approach to simulate the subjective spectrum which it spaced uniformly on the mel-scale as shown at Figure 17. The *mel-frequency* scale is linear frequency spacing below 1000 Hz and a logarithmic spacing above 1000 Hz as shown in Figure 18. This is the scale where much similar to the perception model of human ear. The Mel-frequency cepstrum represents the short-term power spectrum of a sound using a linear cosine transform of the log power spectrum of a Mel scale. The formula for the Mel scale is $M = 2595 \log_{10} \left(\frac{f}{700} + 1\right)$ which mean if a pitch of 1 kHz tone, 40 dB above the perceptual hearing threshold, the value of mel is 1000 Mels. Mel filter bank is best to view each filter as a histogram bin in frequency and the bins have overlap.



Figure 17 Mel filter bank operating on a spectrum [36]



Figure 18 The Mel spcaed filer banks, acting in the frequency domain [36]

3.4.1.4 Cepstrum

By converting the log mel spectrum back to time, the result obtained is the mel frequency cepstrum coefficients (MFCC). Discrete Cosine Transform (DCT) convert the mel spectrum coefficients to time domain since the coefficient are real numbers. Therefore if we denote those mel power spectrum coefficients that are the result of the last step are \tilde{S}_0 , k = 0, 2, ..., K - 1, we can calculate the MFCC's, \tilde{c}_n , as

$$\widetilde{c}_n = \sum_{k=1}^{K} (\log \widetilde{S}_k) \cos\left[n\left(k - \frac{1}{2}\right)\frac{\pi}{K}\right], \qquad n = 0, 1, \dots, K-1$$

Note that we exclude the first component, \tilde{c}_0 , from the DCT since it represents the mean value of the input signal, which carried little speaker specific information [36].

3.4.2 Word Recognition

Word Recognition identifies what the speaker said by referring to the database.

3.4.2.1 Dynamic Time Warping (DTW)

Dynamic time warping (DTW) is a well-known method to find the best alignment between two given (time-dependent) sequences with certain restrictions. DTW has been used to compare different speech patterns in automatic speech recognition. The speed when we are talking, every single time there must be at least some different in speed. Some time we talk slower when we are tired, some time we talk quicker when we get nervous and all depends on the situation. DTW has earn its popularity by being extremely efficient as the time-series similarity measure which minimizes the effect of shifting and distortion in time by allowing "elastic" transformation of time series in order to detect similar shapes with different phases [37]. Dynamic time warping is a clever technique which lies in the computation of the distance between input streams and templates. Algorithm is used to search the space of mappings from the time sequence of the input stream to that of the template stream, so that the total distance is minimize instead of comparing the value of the input stream at time, t to the template stream at time, t as shown in Figure 19 [38].



Figure 19 Time Alignment of two time-dependent sequences [38]

For this project, there are two time series, one is MFCC coefficient $T = (t_1, t_2, t_N)$ of length N ϵ N another one is the database coefficient $R = (r_1, r_2, t_N)$ of length $M \epsilon N$. These sequences sampled at equidistant points in time and fix a features space denoted by β . Then $t_n, r_m \epsilon \beta$ for $n \epsilon [1: N]$ and $m \epsilon [1: M]$. To compare $t, r \epsilon \beta$, local cost measure or local distance measure is used. The function is localcost : $\beta x \beta \rightarrow \mathbb{R} \ge 0$. In general, localcost is small if t and r are similar to each other or else localcost is large.

Algorithm starts by building the distance matrix by using "repmat" in MATLAB software which represent all pair wise distance between *t* and *r*. This distance matrix called local cost matrix for alignment of two sequence *t* and *r*: $C_l \in \mathbb{R}^{M \times N}$: $C_{i,j} = //|t_i - r_j||$, $i \in [1 : N]$, $j \in [1: M]$ [38].

Next, Optimal Warping Path which is a warping path that has a minimal cost among all possible warping paths between *T* and *R*. To obtain the optimal path, every possible warping between *T* and *R* need to be tested which causing computational complexity that is exponential in the lengths *T* and *R*. To handle this problem, Dynamic Programming does the job. The total cost of function is $C_p(T, R) = \sum_{k=1}^{L} C(tn1, rm1).$ The DTW function is $DTW(T,R) = C_{p*}(T, R)$ $= \min\{C_p(T, R), p \in P^{N \times M}\}$ where $P^{N \times M}$ is the set of all possible warping paths.
The accumulated cost matrix D are defined as follows: 1. D(1, j) =

 $\sum_{k=1}^{j} C(t_{l}, r_{k}), j \in [1:M]; 2. D(i, 1) = \sum_{k=1}^{i} C(t_{k}, r_{l}), i \in [1:N]; 3. D(i, j) = \min\{D(i-1, j-1), D(i-1, j), D(i, j-1)\} + C(t_{i}, r_{j}), I \in [1,N], j[1:M]. [37]$

As a conclusion, DTW is first figure out the difference of the two time series by building the accumulated cost matrix then finding the optimal warping path to get all the value for every single pair between T and R [38].

3.4.2.2 k-Nearest Neighbour

In pattern recognition, the k-nearest neighbour algorithm (k-NN) is a method for classifying objects based on closest training. In the project, the result obtained in the Dynamic Time Warping algorithm will be sorted accordingly in k-NN algorithm. k-nearest neighbour algorithm works based on minimum distance from the query instance to the training samples to determine the k-nearest neighbours. After gather k-nearest neighbours, we take simple majority of these k-nearest neighbors to be the prediction of the query instance.



Figure 20 k-NN classifications

Example of *k*-NN classifications by referring to Figure 20. The test sample which is the diamond shape should be classified either to the first class of pentagon or to the second class of stars. If k = 3, it is classified to the second class because there are 2 stars and only 1 pentagon inside the inner circle. If k = 5 it is classified to first class, 3 pentagon vs. 2 stars inside the outer circle [39]. Figure 21 shows the flow chart of the *k*-NN algorithm.



Figure 21 Flow Chart for k-NN Algorithm

3.4.3 Speaker Recognition

Speaker Recognition is able to recognize who is the speaker from the codebook.

3.4.3.1 Vector Quantization (VQ)

Vector Quantization is a technique used to compress the classification and manipulate the data in a way to maintain the most prominent characteristic. VQ can also be called as a process of mapping vectors. From a large vector space it map to a finite number of regions in that space. This finite region is called a cluster. It can represent by its center called a codeword. Each codeword is used to construct a codebook, this process is applied to every single speaker to be trained into the system.

Linde-Buzo-Gray [40] or LBG VQ algorithm is implemented in this project. The overflow of the algorithm is shown in Figure 22.



Figure 22 Flow Diagram of VQ-LBG Algorithm [41]

The LBG is using splitting method to obtain the codebook. LBG splits the codebook into segments and performs a comprehensive analysis on each segment. The analysis compresses the training vector information creating a new codebook which is then used to compute the next segment [40].

According to Fuzzy Clustering, to start a splitting method, an initial codevector is set as average, then split in two vectors. Then the two vectors are run in the iterative algorithm. The results from these two vectors are further

split into 2 vectors each become four vectors. This process is repeated until the desired number of code vectors is obtained.

The process is proceeding continuously until all segments have been processed and the new codebook is created. This process is to minimize any distortions in the data creating a codebook which is computationally optimized, while providing a sub-optimal solution.

The performance of VQ analysis is highly dependent on the length of the voice file which is operated upon.

The algorithm makes the decision base on two of the criteria. First is, the Euclidean Distance between the codebook tested and the trained codebooks. Second is, the distance calculated falls below a pre-defined threshold of acceptance. If the system could not met the threshold of this two requirement, the voice signal in the test is will be shown "unknown speaker" [41].

Basically, the Speaker Recognition is run as shown in the block diagram in Figure 23 and Figure 24.



Figure 23 Overview of Speaker Recognition Algorithm (Part 1)



Figure 24 Overview of Speaker Recognition Algorithm (Part 2)

3.5 Conclusion

Basically, to start work on this project two set of database need to be recorded: Train and Test folder. When recording the database, a very silent environment is required because a quality of a database affects the accuracy of the system. There are many others way to work on the Word and Speaker Recognition System. In this project VAD, SS and MFCC are used in front-end processing. For back-end processing, DTW, *k*-NN and VQ are used.

Chapter 4 RESULT AND DISCUSSION

Word and Speaker recognition are inherent of a database, which stores information used to compare the test word and speaker against a set of trained words and speakers' voices. Ideally, storing as much database is advised because it can give higher accuracy, but realistically this cannot be achieved. It would be unfeasible and impractical to stores a number of feature vectors as it require a very large storing place.

There are 10 people's voices utter words: "One", "Two", "Three", "Four", "Five", "Six", "Seven", "Eight" and "Nine" which are 5 female and 5 male.

4.1 Word Recognition

First of all, DTW method was chosen among the other methods to perform the word recognition needed. The number of nearest neighbours used is 10, which is most suitable for the amount of database used. This value can be changed if a larger set of database is used. The most probability appear among the 10 nearest neighbour will be the result. Table below shows the result of Word Recognition which using DTW as pattern recognition. When the DTW technique alone is used, the recognition rate is 81.11%.

Word Speaker	One	Two	Three	Four	Five	Six	Seven	Eight	Nine
Shwu Fei	\checkmark	One		\checkmark	\checkmark			\checkmark	\checkmark
Choon Yan	\checkmark	Four	Eight	\checkmark	\checkmark	Eight			
Thomas				\checkmark	\checkmark		\checkmark		
Siew Kim		One	Two	\checkmark	\checkmark				
Yin Fei				\checkmark	One				
Kooi Khim				\checkmark	\checkmark	Three			
Shuk Yee				\checkmark	\checkmark				One
Raheel				\checkmark	\checkmark	Three		Three	One
Ping Hong		\checkmark	\checkmark	\checkmark	\checkmark	Eight	\checkmark	Six	\checkmark
Keat Oon				\checkmark		Three		Six	Five

Table 1 Word Recognition using DTW

Thus another method, VQ was tried, the recognition rate of using VQ technique is 65.56% as shown in the Table 2. The result is good as well but compare with DTW, VQ is not that accurate as DTW.

Word Speaker	One	Two	Three	Four	Five	Six	Seven	Eight	Nine
Shwu Fei	\checkmark		\checkmark	\checkmark	\checkmark	~		\checkmark	
Choon Yan	$\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{$	Four	Eight	One	\checkmark	Eight	Five	\checkmark	
Thomas				\checkmark	\checkmark	Three	\checkmark	\checkmark	
Siew Kim			Two	One	\checkmark	\checkmark		\checkmark	
Yin Fei	Nine			Five	One	\checkmark	Nine	\checkmark	
Kooi Khim		Four	\checkmark	\checkmark	\checkmark	Three	\checkmark	Three	
Shuk Yee		Two				Three			One
Raheel		Two	\checkmark	One	\checkmark	Three	\checkmark	Three	One
Ping Hong		Two	\checkmark	One	\checkmark	Eight	\checkmark	Three	\checkmark
Keat Oon		Two				Three		Six	Five

Table 2 Word Recognition using VQ

To improve the recognition rate, both DTW and VQ are combined. Obviously, the recognition rate is higher compare to previous result which is 84.44% as shown in the Table 3.

Word Speaker	One	Two	Three	Four	Five	Six	Seven	Eight	Nine
Shwu Fei	\checkmark								
Choon Yan	\checkmark	Four	Eight	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	
Thomas	\checkmark								
Siew Kim	\checkmark	\checkmark	Two	\checkmark	\checkmark	\checkmark	One	\checkmark	
Yin Fei	\checkmark	\checkmark	\checkmark	\checkmark	One		\checkmark	\checkmark	\checkmark
Kooi Khim	\checkmark	Four	\checkmark	\checkmark	\checkmark	Three	\checkmark	\checkmark	
Shuk Yee	\checkmark	One							
Raheel			\checkmark	\checkmark	\checkmark			\checkmark	One
Ping Hong	\checkmark					Eight		Six	\checkmark
Keat Oon	\checkmark		\checkmark		\checkmark	Eight	One		Five

Table 3 Word Recognition using DTW and VQ

4.2 Speaker Recognition

For Speaker recognition, let's assume: Shwu Fei – SF ,Choon Yan – CY, Thomas – T, Siew Kim – SY, Yin Fei – YF, Kooi Khim – KK, Shuk Yee – SY, Raheel – R, Ping Hong – PH and Keat Oon -- KO

At first DTW method was chosen but the accuracy is low which is 35.56% as shown in Table 3.

Word Speaker	One	Two	Three	Four	Five	Six	Seven	Eight	Nine
Shwu Fei	\checkmark	СҮ	YF						
Choon Yan	SF	РН	\checkmark	\checkmark	SF	\checkmark	SK	РН	SF
Thomas	KK	РН	РН	SK	SF	РН	KK	РН	\checkmark
Siew Kim	\checkmark	РН	РН	\checkmark	SF	РН	\checkmark	КО	\checkmark
Yin Fei	\checkmark	SK	\checkmark	SK	SK	CY	\checkmark	СН	\checkmark
Kooi Khim	SK	РН	YF	РН	SF	YF	YF	YF	YF
Shuk Yee	\checkmark	РН	РН		SF	РН	\checkmark	РН	YF
Raheel	РН	РН	РН	РН	РН	РН	SF	РН	SK
Ping Hong	SK	\checkmark			SF	\checkmark			
Keat Oon	\checkmark	РН	РН	РН	SF	\checkmark			SF

Table 4 Speaker Recognition using DTW

Due to the accuracy is not that high, another method was used which is VQ method was chosen for the speaker recognition purpose. The accuracy for Speaker Recognition that using VQ method has the recognition rate slightly higher than DTW method which is 42.22%.

Word Speaker	One	Two	Three	Four	Five	Six	Seven	Eight	Nine
Shwu Fei	\checkmark	\checkmark	СҮ	\checkmark	\checkmark	СҮ	\checkmark	~	\checkmark
Choon Yan	YF	SF	\checkmark	SF	SF	\checkmark	YF	YF	YF
Thomas	\checkmark	РН	КО	KK	YF	РН	\checkmark	РН	\checkmark
Siew Kim	\checkmark	\checkmark	РН	\checkmark	SF	\checkmark	\checkmark	Т	YF
Yin Fei	\checkmark	SK	\checkmark	SK	SK	CY	\checkmark	CY	\checkmark
Kooi Khim	YF	РН	\checkmark	РН	SF	YF	\checkmark		YF
Shuk Yee	SK	РН	РН	\checkmark	SF	РН	SK	РН	YF
Raheel	РН	\checkmark	КО	РН	СҮ	\checkmark	\checkmark	РН	SK
Ping Hong	YF	\checkmark	KK		SF				\checkmark
Keat Oon	KK	Т	Т	РН			KK		\checkmark

Table 5 Speaker Recognition using VQ

Due to the low recognition rate of speaker recognition, the high accuracy of word recognition is make used to improve the speaker recognition rate. Without the word recognition, the speaker recognition is carried out by testing the input signal's MFCC coefficient with all the codebooks that contain all the numbers (1-9 by all speakers) in the database. With the help of word recognition which recognizes the word tested, the MFCC coefficient is now compared with only the codebook with the number recognized by the word recognition. (For example, if number 3 is recognized in the word recognition process, the MFCC coefficient is now compared with only the speaker recognized in the word recognition process, the MFCC coefficient is now compared with only the number 3 codebook.). The accuracy of the Speaker Recognition is improved greatly to 54.44% as shown in Table 6.

Word Speaker	One	Two	Three	Four	Five	Six	Seven	Eight	Nine
Shwu Fei	\checkmark	\checkmark	\checkmark	СҮ	\checkmark	CY	СҮ	\checkmark	
Choo Yan	KK	SK	\checkmark	SF	\checkmark	\checkmark			
Thomas	\checkmark	KK	\checkmark	KK	\checkmark	\checkmark		\checkmark	\checkmark
Siew Kim	KK	\checkmark	R	\checkmark	\checkmark	KK	KK	Т	SY
Yin Fei	\checkmark	SK	\checkmark	\checkmark	SK	SK		Т	
Kooi Khim	\checkmark	РН	\checkmark	РН	\checkmark	РН		\checkmark	Т
Shuk Yee	\checkmark	SK	\checkmark	\checkmark	SF	\checkmark	\checkmark	SK	SF
Raheel	РН	\checkmark	Т	Т	РН	\checkmark	\checkmark	РН	KK
Ping Hong	YF	\checkmark	Т	КО	СҮ	\checkmark		SY	\checkmark
Keat Oon	\checkmark	Т	KK	Т	\checkmark	R	R	\checkmark	\checkmark

Table 6 Speaker Recognition after combined with Word Recognition

Overall, in word recognition, DTW performs higher accuracy than VQ but combination of VQ and DTW obtain the highest accuracy compare to each stand alone. For Speaker Recognition, VQ performs the higher recognition rate but after combine with word recognition the accuracy has improved almost 12%. Table 7 is shows the overall recognition rate.

	Recognition Rate
Word Recognition	
VQ	65.56%
DTW	81.11%
VQ + DTW	84.44%
Speaker Recognition	
VQ	42.22%
DTW	35.56%
Word & Speaker Recognition	
Word Recognition (VQ + DTW)	84.44%
Speaker Recognition (VQ)	54.44% (Improved)

Table 7 Overall of Recognition Rate
4.3 Miscellaneous

When recording the database, a few steps need to be taken. The voices utter to the speaker must not exceed the threshold 1. Figure 25 shows the voices over the limit while Figure 26 shows the correct volume of the voices.



Figure 25 Database over the volume limit



Figure 26 Database within the volume limit

Figure 27-31 show the differences of the waveform when the voices pass through every algorithm. The waveform shown is from Speaker Shwu Fei.



Figure 27 is the original waveform of word "One".

Figure 27 Original waveform for word "One"





Figure 28 Waveform after VAD



Figure 29 shows the waveform after SS which is used to eliminate the noise.

Figure 29 Waveform after SS

By using the waveform from SS, MFCC is performed by using the toolbox in MATLAB. There are 13 lines which show the coefficients of the waveform as shown in Figure 30.



Figure 30 MFCC Coefficient

The results shown in Figure 31 and Figure 32 are the DTW values that have been obtained. It shows that when the value of DTW of the input speech data is calculated with the word "One" utter by original person's the value is 0, while by different person the value is 0.4292, the third one is by utter the word "Two", the value is 0.8043 and the fourth is the person utter the word "Three", the value is 0.8975.

It shows that if the input speech is same as the database speech the DTW value is 0 which mean there are no different between the two waveforms. When the MFCC coefficients of two same words are compared the value is small and closer to zero, which means the two coefficients are quite similar. When the MFCC coefficients of two different words are compared, the value is big and closer to one, which means the two coefficients are very different.



Figure 31 MFCC coefficient of the input speech



Figure 32 Test words for One by original speech, One by different person, Two, Three (From left to right)



4.4 Conclusion

When doing the testing, the microphone used could not perform well. To improve the performance, a better quality of microphone must be used so that the words utter by the speaker can be captured accurately and the result might be more accurate. When recording the database, the environment must be silent enough to reach the most accuracy. A complete word recognition system and speaker recognition system are successfully built with recognition rate of 84.44 % for word recognition and 54.44% for speaker recognition.

Chapter 5

CONCLUSION AND RECOMMENDATIONS

As a conclusion, the methods used in word and speaker recognition system are being explored. This project involves two main parts: Speech/Word Recognition and Speaker Recognition. The main tool for this project is MATLAB. Training and Testing are the important stages to perform. With accurate training and testing stage the result will be more accurate.

5.1 Conclusion

The GOLD medal is achieved in Engineering Design Exhibition for this project in Universiti Teknologi PETRONAS as shown in Appendix C while the conference paper is accepted in 2011 International Conference on Software Technology and Engineering (ICSTE 2011) as attach at Appendix D.

From the research, among so many methods to implement on the word recognition, VAD, SS, MFCC, DTW, VQ and *k*-NN are used. MFCC is the most popular method in pattern recognition. For speaker recognition, VQ is used. A complete word recognition system and speaker recognition system are successfully built with recognition rate of 84.44% for word recognition and 54.44% for speaker recognition.

The advantage of the project is focused on the improvement in security provided by this Word and Speaker Recognition System. It can also be used by the disable people. For example in the lift, they can utter the number of the level they would like to reach instead of pressing the button of the lift which is hard to reach by them.

5.2 Recommendation

The main idea of this project is to help the disable people to go to the desired level they wish to go in the lift. With the combination of word and speaker recognition, the lift not just needs to recognize the word utter by the speaker but also must able to recognize who are the speakers. This will improve the security of the building.

This project can be used together with PIC or Digital Signal Processing development kits then it can be further used in the real world.

Last, the recognition rate can be improved by recording the database in a very ideal environment. The quality of the database affects the accuracy of the recognition rate.

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APPENDICES



Gar	tt Chart of Final Year Project - A Word	Speed	ch Reco	gnit	ion	syst	em							_				
Stud	ent : Tan Shwu Fei																	
Supe	ervisor: Assoc. Prof Mohammad Awan														-			
		Plan	ned							>	/eek							
#	List of Activities	Start	Duration	-1	24	m	-4	un	w	~	-00	m	10	=	12	m	14	15
1	LITERATURE REVIEW							-		1	_	1		1		-	-	
1.1	Word recognition	Week 1	3 weeks					2.3		2.8		2		9. R	-	2.8	-	6-3
1.2	Speaker recognition	Week 2	3 weeks															
1.3	Familiarisation with MATLAB in audio processing	Week 3	2 weeks	1 N				2		2 8		2	-	2.8	-	2.8	-	2-10
2	PRELIMINARY REPORT WRITE-UP	Week 3	2 weeks									-		-		-	-	· · · · ·
3	SEMINAR	Week 5	3 weeks			2.3						2		2.8	-	2.3	-	1
4	DESIGN & DEVELOPMENT OF WORD RECOGNITION					-		-				2		-		-	1	
4.1	Voice Activity Detection (VAD)	Week 5	4 weeks			28						2	-	2.8	-	9. S	-	1
4.2	Spectral Subtraction (SS)	Week 7	4 weeks					-							-			<u> </u>
4.3	MeI-Frequency Cepstrum Coefficient (MFCC)	Week 7	3 weeks			2								2	-	2.3	-	2:8
4.4	Dynamic Time Warping (DTW)	Week 7	3 weeks			-										-		
5	PROGRESS REPORT WRITE-UP	Week 8	1 week			28		-		S. 3				3 8	-	2-3	-	2-2
9	INTERIM REPORT FINAL DRAFT WRITE-UP	Week 12	2 weeks															_
7	ORAL PRESENTATION	Week 14	1 week		1	8 3 1	1	8 8	1	8.3		8 3	-	<u>a 3</u>				61 - A

GANTT CHART FOR FYP I

APPENDIX B

15 14 m 12 = 10 m Week 00 ~ w ŝ 4 m N -Duration Week 1 3 weeks Week 3 3 weeks Week 5 2 weeks Week 5 2 weeks Week 6 2 weeks Week 6 2 weeks 2 weeks 3 weeks 2 weeks Week 11 2 weeks 4 weeks Week 7 1 week Week 9 1 week Week 14 1 week Planned Week 7 Week 7 Week 8 Week 8 Start DESIGN & DEVELOPMENT OF SPEAKER RECOGNITION Combination of Word and Speaker Recognition MeI-Frequency Cepstrum Coefficient (MFCC) INTERIM REPORT FINAL DRAFT WRITE-UP Voice Activity Detection (VAD) Dynamic Time Warping (DTW) POSTER PREPARATION FOR EDX PROGRESS REPORT WRITE-UP TECHNICAL REPORT WRITE-UP Vector Quantization (VQ) Spectral Subtraction (SS) Speaker Recognition Speaker Recognition ORAL PRESENTATION **UTERATURE REVIEW** Word Recognition Word recognition List of Activities Improvement 2.3 2.4 2.5 2.5 2.6 1.1 1.2 2.1 2.2 2.7 2.8 # -2 m 4 ŝ 9 2

GANTT CHART FYP II

APPENDIX C

ENGINEERING DESIGN EXHIBITION

APPENDIX D

NOTIFICATION OF ACCEPTED PAPER BY ICSTE 2011

APPENDIX E

CONFERENCE PAPER